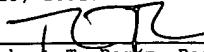




AMENDMENT TRANSMITTAL LETTER			DOCKET NUMBER: P-LJ 4494
SERIAL NO: 09/757,041	FILING DATE: Jan. 9, 2001	EXAMINER: Unknown	GROUP ART UNIT: 1645
INVENTION: CD40 ASSOCIATED PROTEINS			

TO COMMISSIONER FOR PATENTS

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231 on May 23, 2001.

By: 
Robert T. Ramos, Reg. No. 37,915

May 23, 2001

Date of Signature

BEST AVAILABLE COPY

Transmitted herewith is PRELIMINARY AMENDMENT IN RESPONSE TO THE NOTICE OF OMITTED ITEMS IN A NONPROVISIONAL APPLICATION in the above-identified application.

- Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- Information Disclosure Statement; Form 1449 with 41 references
- Petition for Extension of Time is enclosed (in duplicate).
- X No additional claims fee is required.
- An additional claims fee is required and has been calculated as shown below:

CLAIMS AS AMENDED

	NUMBER AFTER AMEND- MENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	NUMBER OF EXTRA CLAIMS PRESENTED	RATE		FEE	
				SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	22	-	22	-	0	x \$9	\$18
INDEPEN- DENT CLAIMS	2	-	2	-	0	x \$40	\$80
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM		YES		NO		\$135	\$270
						TOTAL ADDITIONAL FEE	\$ 00

* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.

** If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.

*** If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 0, write "0" in the space.

Inventors: Reed and Sato
Serial No.: 09/757,041
Filed: January 9, 2001
Page 2

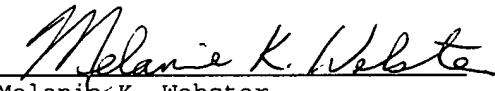
The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 C.F.R. 1.16.

Any patent application processing fees under 37 C.F.R. 1.17.

The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


Melanie K. Webster
Registration No. 45,201
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4370 La Jolla Village Drive
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USPTO CUSTOMER NO. 23601



PATENT
Our Docket: P-LJ 4494

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
Washington, D.C. 20231

By: Robert T. Ramsey Reg. No. 37,915

ert T. Ramosy Reg. No. 37,915

May 25, 2001

PRELIMINARY AMENDMENT IN RESPONSE
TO THE NOTICE OF OMITTED ITEMS IN A
NONPROVISIONAL APPLICATION

The Applicants request entry of the following Preliminary Amendment in response to the Notice of Omitted Items in a Nonprovisional Application dated April 3, 2001.

I. AMENDMENT

Please amend the specification as follows:

Please delete the paragraph beginning at page 4, line 6, and replace with:

--Figures 1A, 1B, and 1C list the complete nucleotide sequence (SEQ ID NO:2) and the deduced amino acid sequence (SEQ ID NO:1) of CAP-1. Single letter amino acid symbols are used.--